

# **Pebbling of Oriented Graphs**

**SUBMITTED TO THE FACULTY OF THE GRADUATE SCHOOL  
OF THE UNIVERSITY OF MINNESOTA  
BY**

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**IN PARTIAL FULFILLMENT OF THE REQUIREMENTS  
FOR THE DEGREE OF  
MASTER OF SCIENCE**

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**September, 2017**

## Abstract

In traditional graph pebbling a move across an edge is made by removing two pebbles from one vertex and adding one pebble to an adjacent vertex. We extend this concept to oriented graphs by subtracting three pebbles when moving against an edge orientation and two pebbles when moving with an edge orientation. The cover pebbling number of an oriented graph is the minimum number of pebbles such that given any initial placement of these pebbles we can simultaneously place a pebble on every vertex. In this paper we will look at pebblings of oriented paths.

# Contents

<b>Abstract</b>	<b>i</b>
<b>1 Introduction</b>	<b>1</b>
1.1 Traditional Pebbling . . . . .	1
1.2 Target Pebbling Introduced . . . . .	5
1.3 Cover Pebbling: Another type . . . . .	6
1.4 Extending Pebbling to Oriented Graphs . . . . .	8
<b>2 Definitions and Notation</b>	<b>11</b>
2.1 Overview of basic graph definitions . . . . .	11
2.2 Some Pebbling Definitions . . . . .	13
2.3 Definitions for Traditional and Target Pebbling . . . . .	16
2.4 Cover Pebbling Definitions . . . . .	17
<b>3 Known Results</b>	<b>19</b>
3.1 Known Results for Traditional Pebbling . . . . .	19
3.2 Known Results for Cover Pebbling . . . . .	22
3.2.1 The Stacking Theorem . . . . .	22
<b>4 Traditional and Target Pebbling on Oriented Paths</b>	<b>24</b>
<b>5 Cover Pebbling on Oriented Paths</b>	<b>28</b>
<b>6 Conclusion</b>	<b>35</b>
<b>References</b>	<b>37</b>

# Chapter 1

## Introduction

Graph pebbling is a two player game played on a graph where pebbles are distributed on the vertices. It arose from an attempt by Lagarias and Saks to give an alternate proof to a theorem about zero-sum sequences (see introduction in [1]). This theorem, proven by Lemke and Kleitman [8] in 1989, states that for every sequence  $S$  of  $n$  elements from the group  $\mathbb{Z}_n$  there exists a zero-sum subsequence  $T$  such that  $\sum_{t \in T} \frac{1}{|t|} \leq 1$ . Here  $|t|$  denotes the order of  $t$  in  $\mathbb{Z}_n$ . To prove this result Lagarias and Saks needed to show that the pebbling number of an  $n$ -cube was  $2^n$ . In 1989 Chung [1] succeeded in carrying out this idea, thus giving this alternate proof.

In this chapter we will start by describing traditional pebbling, then target pebbling, and then cover pebbling. Finally, we will describe our extension of pebbling to oriented graphs.

### 1.1 Traditional Pebbling

Traditional pebbling is the type of pebbling suggested by Lagarias and Saks, and used by Chung in [1]. It is usually just called pebbling, but we call it traditional pebbling to distinguish it from the other types.

In all variations of pebbling one player plays as the pebbler and the other as the configurer. For traditional pebbling the pebbler starts by buying  $n$  really expensive pebbles and gives them to the configurer. Next, the configurer distributes the  $n$  pebbles on the vertices of the graph in any way he likes and selects a target vertex  $t$ . For the rest

of the game it is the pebbler's turn. The pebbler plays a sequence of pebbling moves in an attempt to place a pebble on  $t$ . The pebbler wins if he places a pebble on  $t$ . If the pebbler runs out of pebbling moves without placing a pebble on  $t$  then the configurer wins. A pebbling move is made across an edge  $\{x, y\}$  by taking two pebbles from  $x$ , placing one of these two pebbles on  $y$ , and removing the other pebble from play (see Figure 1.1). Moving more than one pebble across an edge can be achieved by making several pebbling moves across the edge, each move done directly after the other.

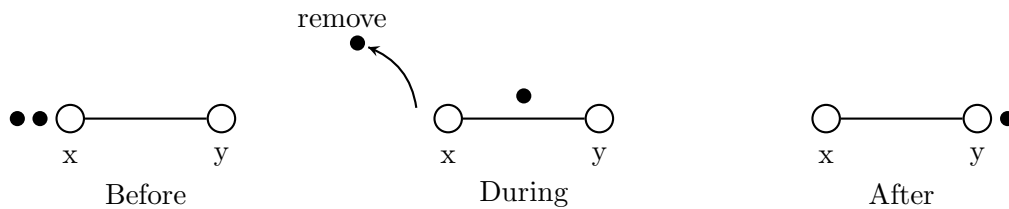


Figure 1.1: Pebbling move across an edge.

A distribution of pebbles on the vertices of a graph is called a *configuration*. A configuration  $C$  of pebbles on a graph is called  *$t$ -solvable* if starting with  $C$  the pebbler can play a sequence of pebbling moves which results in a configuration with at least one pebble on  $t$ . If this cannot be done then  $C$  is called  *$t$ -unsolvable*. If  $C$  is  *$t$ -solvable* for every vertex  $t$  then  $C$  is called *solvable*.

Given a graph  $G$  we would like to know the minimum number of pebbles the pebbler must buy in order to guarantee victory. This number is called the *pebbling number* of  $G$  and is denoted  $\pi(G)$ . For example, consider the graph  $G$  in figure 1.2.

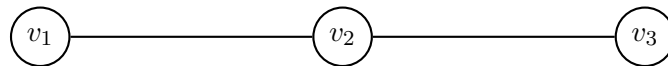


Figure 1.2

The pebbling number of  $G$  is the number  $\pi(G)$  such that:

1. every configuration of  $\pi(G)$  pebbles is solvable, and
2. there exists a configuration of  $\pi(G) - 1$  pebbles that is  $r$ -unsolvable for some vertex

$r$ .

Let us play the game as the pebbler. Suppose we buy 3 pebbles and give them to the configurer. The configurer then places all 3 pebbles on  $v_1$  and chooses  $v_3$  as the target vertex (see figure below).

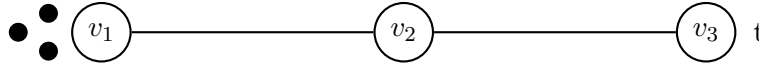


Figure 1.3

Can we place a pebble on  $v_3$ ? Currently we only have one move, namely moving a pebble from  $v_1$  to  $v_2$ . This results in the following:

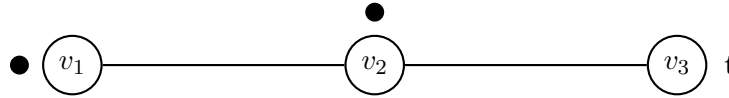


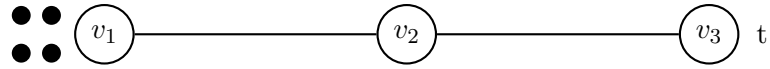
Figure 1.4

Clearly from this configuration there are no pebbling moves for us to make since no vertex has more than one pebble on it. Since the target vertex  $v_3$  has no pebbles on it, the pebbling number of  $G$  must be greater than 3.

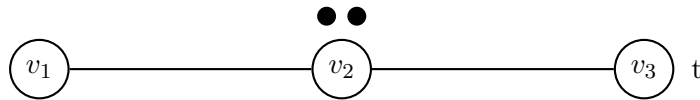
Now let us buy 4 pebbles at the beginning of the game. If the configurer places two pebbles on  $v_2$  then no matter what target vertex he chooses we can place a pebble on it from  $v_2$ . So the pebbler must place less than two pebbles on  $v_2$ . The rest of the pebbles must be placed on the end vertices. By the pigeonhole principle one of the end vertices must have at least two pebbles on it. If  $v_2$  is the target vertex then the pebbler can win by simply moving a pebble from the end vertex with at least two pebbles on it to  $v_2$ . Therefore the configurer must choose the target to be one of the end vertices. Without loss of generality suppose  $v_3$  is the target vertex. Clearly  $v_3$  must not start with any pebbles on it, otherwise we would win. This gives us only two initial configurations left to consider. The configurer can either place all pebbles on  $v_1$ , or he can place three pebbles on  $v_1$  and one pebble on  $v_2$ .

First consider the case where all the pebbles are placed on  $v_1$ . Then we can win as follows:

Initial configuration:



Move two pebbles from  $v_1$  to  $v_2$  in two consecutive moves:



Move a pebble from  $v_2$  to  $v_3$ :

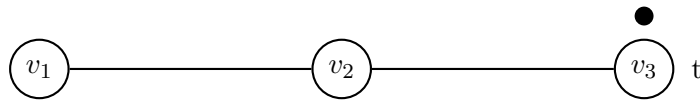
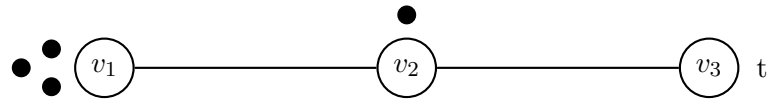


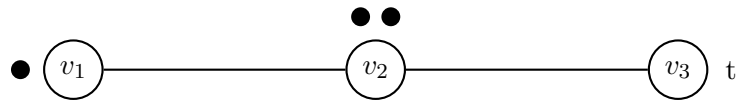
Figure 1.5

Now consider the case where three pebbles are placed on  $v_1$  and one pebble on  $v_2$ . Then we can win as follows:

Initial configuration:



Move a pebble from  $v_1$  to  $v_2$ :



Move a pebble from  $v_2$  to  $v_3$ :

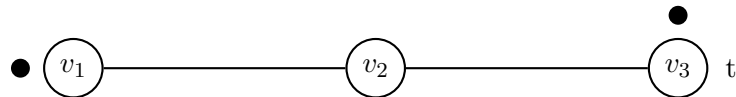


Figure 1.6

Therefore  $\pi(G) = 4$ . □

## 1.2 Target Pebbling Introduced

In order to describe traditional pebbling better we will introduce another type of pebbling called target pebbling. The idea of target pebbling is not new in this paper, but our formulation of it in the light of being its own type of pebbling is. Target pebbling is often implicitly described when talking about other types of pebbling, especially traditional pebbling. It was first described (implicitly) by Chung [1] in his description of pebbling in hypercubes.

Target pebbling is a variation similar to traditional pebbling. It is played the same way as traditional pebbling except the target vertex is fixed before the game starts. Neither the pebbler nor the configurer chooses it, it is given with the graph. The game begins with the pebbler buying  $n$  really expensive pebbles and giving them to the configurer. The configurer then distributes the  $n$  pebbles on the vertices. Finally, the pebbler attempts to place a pebble on  $t$  by playing a sequence of pebbling moves. Given a graph  $G$ , the *target pebbling number of  $G$  with target  $t$*  is the minimum number of pebbles the pebbler must buy in order to guarantee he can place a pebble on  $t$ . This is denoted as  $\tau(G, t)$ .

Consider the graph  $G$  in figure 1.2 with target vertex  $t = v_2$ . Let us find  $\tau(G, v_2)$  by playing as the pebbler. Suppose we buy 2 pebbles. The configurer may distribute them as follows:

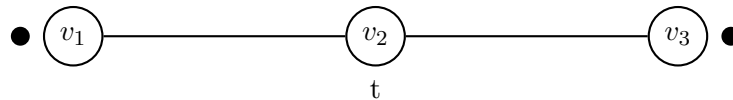


Figure 1.7

From this configuration we have no pebbling moves to make. So  $\tau(G, v_2) > 2$ .

Now let us start by buying 3 pebbles. If the configurer places a pebble on  $v_2$  then we win since  $v_2$  is our target vertex. So we only need to consider the cases where the configurer places pebbles on the end vertices. By the pigeonhole principle one of the



end vertices will have at least two pebbles on it. By moving a pebble from that end vertex to  $v_2$  we win the game. Therefore  $\tau(G, v_2) = 3$ .

### 1.3 Cover Pebbling: Another type

Cover pebbling was introduced by Crull et al. in [4]. It is a variation of traditional pebbling where instead the pebbler's goal is to place a pebble on every vertex simultaneously. The pebbler starts out by buying  $n$  really expensive pebbles and gives them to the configurer. The configurer then places the pebbles on the vertices in any way he likes. The rest of the game is played just as was done for traditional pebbling except the pebbler wins only if he reaches a distribution of pebbles where every vertex has a pebble on it at the same time. The *cover pebbling number* of a graph  $G$ , denoted  $\gamma(G)$ , is the minimum number of pebbles the pebbler must buy in order to guarantee victory when playing cover pebbling on  $G$ .

Consider the game of cover pebbling on the graph  $G$  in Figure 1.2. Suppose we buy 6 pebbles. The configurer then distributes the 6 pebbles as follows:

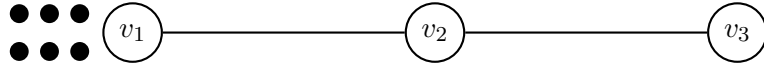


Figure 1.8

Our goal as the pebbler is to achieve a configuration with at least one pebble on every vertex. Let us start by trying to place a pebble on  $v_3$ . This can be done by moving two pebbles to  $v_2$  and then moving one pebble from  $v_2$  to  $v_3$ . This results in the following:

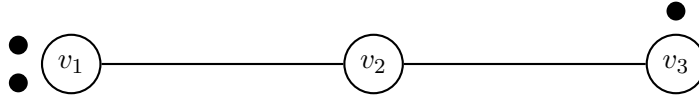


Figure 1.9

Now let us try to place a pebble on  $v_2$ . We can do this by moving a pebble from  $v_1$  to  $v_2$ . This gives us the following:

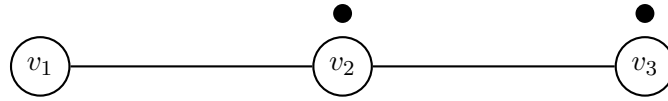


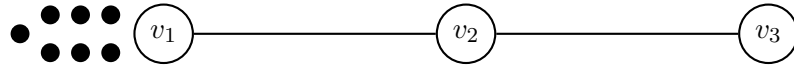
Figure 1.10

From this configuration we cannot make any more pebbling moves. Since there is no pebble on  $v_1$  we have lost. No matter how we play we cannot win if all 6 pebbles start on  $v_1$ . So the cover pebbling number of  $G$  is greater than 6.

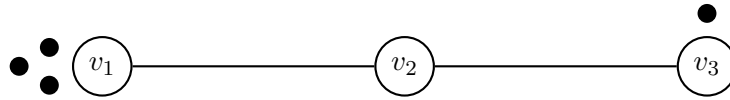
What happens if we started the game by buying 7 pebbles. If every vertex starts with a pebble on it, then we win by not making any pebbling moves. So we can assume some vertex does not have a pebble on it.

If the pebbler places all 7 pebbles on  $v_1$  then we can win as follows:

Initial configuration:



Move two pebbles from  $v_1$  to  $v_2$ , then move a pebble from  $v_2$  to  $v_3$ :



Move a pebble from  $v_1$  to  $v_2$ :

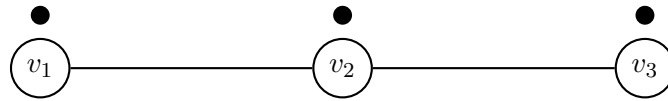


Figure 1.11

We can also win when all pebbles are placed on  $v_3$  since this case is symmetric to when all pebbles start on  $v_1$ .

If all 7 pebbles are placed on  $v_2$ , then we can win by moving one pebble from  $v_2$  to  $v_1$  and one pebble from  $v_2$  to  $v_3$ .

Now what happens if the configurer places at least one pebble on each of  $v_1$  and  $v_2$ , but no pebbles on  $v_3$ . If  $v_2$  has 3 or more pebbles on it, then we can win by moving

a pebble from  $v_2$  to  $v_3$ . If  $v_2$  has at most 2 pebbles on it, then  $v_1$  will have at least 5 pebbles on it. From here we can win by moving two pebbles from  $v_1$  to  $v_2$ , and then move a pebble from  $v_2$  to  $v_3$ . The case where the configurer places at least one pebble on each of  $v_2$  and  $v_3$  and no pebbles on  $v_1$  is similar.

Now consider the case where  $v_2$  is the only vertex with no pebble on it. Then either  $v_1$  or  $v_3$  will have at least 4 pebbles on it. WLOG suppose this vertex is  $v_1$ . Then we can win by moving a pebble from  $v_1$  to  $v_2$ .

We have now checked all configuration of 7 pebbles on  $G$ . Since the pebbler can win from any of these configurations, and since  $\gamma(G) > 6$ , we must have that  $\gamma(G) = 7$ .

## 1.4 Extending Pebbling to Oriented Graphs

Pebblings on oriented graphs play the same way as on unoriented graphs except the pebbling move depends on which way we move across an edge. The *cost* to move a pebble across an edge is the number of pebbles needed to move this pebble, including the pebble itself. The *toll* is the cost when moving with the edge orientation, and the *fee* is the cost when moving against the edge orientation. The toll and fee will be at least two, and the toll will not be more than the fee.

There are many possible values we can assign to the toll and fee. We will settle for a toll of 2 and a fee of 3. In other words, to move a pebble across an edge with the orientation we must remove 1 additional pebble from the source vertex. Similarly, to move a pebble across an edge against the orientation we must remove 2 additional pebbles from the source vertex.

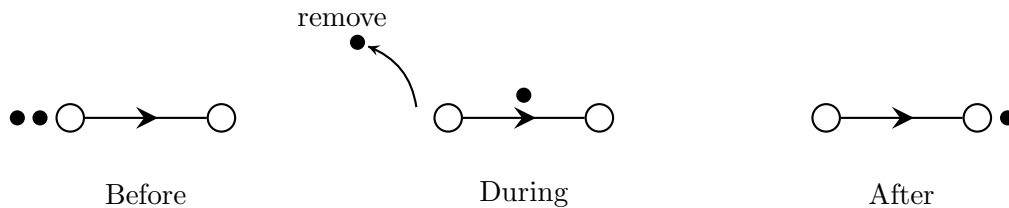


Figure 1.12: Pebbling move going with the edge orientation.

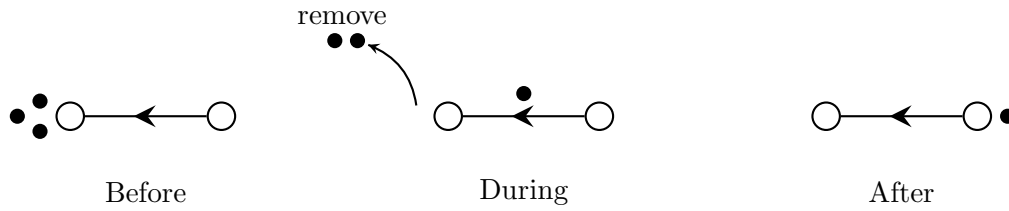


Figure 1.13: Pebbling move going against the edge orientation.

Our choice for the toll and fee may seem arbitrary at first, but our goal is to study some specific cases to make progress towards the general case which applies to any toll and fee. Often our arguments will apply easily to the general case. When it does not, we will use our choice of toll 2 and fee 3.

Let us consider an example. Suppose we have the following oriented graph  $G$ :

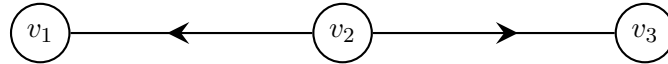


Figure 1.14

We would like to compute the traditional pebbling number  $\pi(G)$ . Once again, let us do this by playing as the pebbler. Suppose we buy 5 pebbles. Then the configurer chooses  $v_3$  as the target vertex and distributes the pebbles as follows:

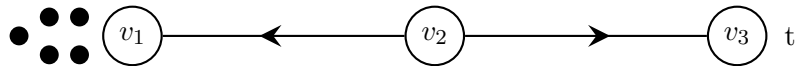


Figure 1.15

The only move we have is to move a pebble from  $v_1$  to  $v_2$ . Since we are moving against the edge orientation we must remove 2 additional pebbles from  $v_1$ . This results in the following:

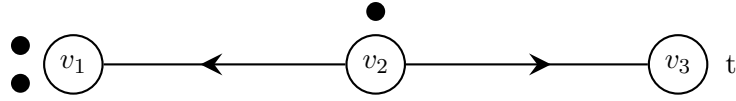
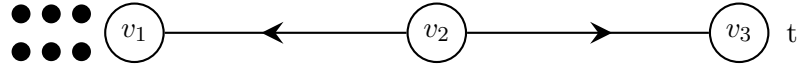


Figure 1.16

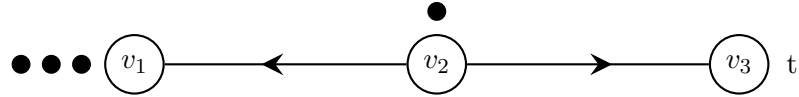
From here there are no pebbling moves to make. Since the target vertex  $v_3$  does not have a pebble on it,  $\pi(G) > 5$ .

Now let us buy 6 pebbles. Suppose the configurer places all 6 pebbles on  $v_1$  and chooses  $v_3$  as the target. Then we can win as follows:

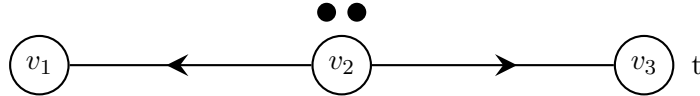
Initial configuration:



Move a pebble from  $v_1$  to  $v_2$ :



Move another pebble from  $v_1$  to  $v_2$ :



Move a pebble from  $v_2$  to  $v_3$ :

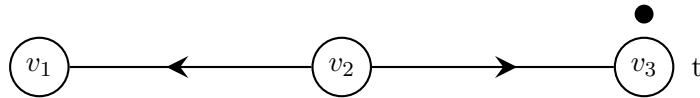


Figure 1.17

Similar reasoning as before can be used to check the rest of the configurations. So no matter how the configurer distributes the 6 pebbles on  $G$  and no matter which target vertex is chosen, the pebbler can always place a pebble on the target vertex. Therefore  $\pi(G) = 6$ .

## Chapter 2

# Definitions and Notation

This chapter is dedicated to developing the definitions and notations that we will be using. Our goal is to formalize the concepts used in pebbling of oriented graphs.

### 2.1 Overview of basic graph definitions

**Definition 1.** An (*undirected*) graph  $G = (V, E)$  is an ordered pair where  $V$  is a finite set of vertices (called the vertex set of  $G$ ) and  $E$  is a set of two element subsets of  $V$  (called the edge set of  $G$ ). An element of  $V$  is called a *vertex* of  $G$  and an element of  $E$  is called an *edge* of  $G$ . The vertex set of  $G$  will be denoted  $V(G)$  and the edge set of  $G$  will be denoted  $E(G)$ .

Note that the above definition does not allow edges to connect vertices to themselves.

**Definition 2.** Let  $v$  and  $u$  be vertices of a graph  $G$ . We say that  $v$  is *adjacent* to  $u$  if the edge  $\{v, u\} \in E(G)$ .

An orientation of a graphs is an assignment of a direction (called an orientation) to each edge. When drawing a graph we will indicate the orientation by drawing an arrow on the edge. So if the arrow of an edge  $\{x, y\}$  points towards  $y$  then we say that this edge is oriented towards  $y$ .

Oriented graphs are graphs where each edge is assigned a direction by treating the edge as an ordered pair instead of an unordered pair. The orientation of an edge  $(x, y)$  is said to point towards  $y$ . Oriented graphs are a special case of a more general kind of

graph called a directed graph (or simply digraph). We give the formal definition of a digraph below:

**Definition 3.** A *directed graph (digraph)*  $G = (V, E)$  is an ordered pair where  $V$  is a finite set of vertices (called the vertex set of  $G$ ) and  $E$  is a set of ordered pairs of  $V$  (called the (directed) edge set of  $G$ ) such that if  $(x, y) \in E$  then  $x \neq y$ . An element of  $V$  is called a *vertex* of  $G$  and an element of  $E$  is called an *edge* of  $G$ . If  $(x, y) \in E$  then we say that  $(x, y)$  is oriented towards  $y$ . The vertex set of  $G$  will be denoted  $V(G)$  and the edge set of  $G$  will be denoted  $E(G)$ .

The difference between digraphs in general and oriented graphs is that digraphs are allowed to have two edges between two vertices, one oriented in each direction. On the other hand, oriented graphs can have at most one edge between two vertices. We define oriented graphs in terms of directed graphs below:

**Definition 4.** An *oriented graph*  $G$  is a directed graph such that if  $(x, y) \in E(G)$  then  $(y, x) \notin E(G)$ .

**Definition 5.** Let  $v$  and  $u$  be vertices of an oriented graph  $G$ . We say that  $v$  is *adjacent* to  $u$  if either  $(v, u) \in E(G)$  or  $(u, v) \in E(G)$ .

**Definition 6.** The *underlying graph* of a digraph  $G = (V, E)$  is the graph  $G' = (V', E')$  such that

1.  $V' = V$ ,
2. if  $(x, y) \in E$  then  $\{x, y\} \in E'$ , and
3. if  $\{x, y\} \in E'$  then either  $(x, y) \in E$  or  $(y, x) \in E$  or both.

Note that an oriented graph  $G$  uniquely defines an orientation of the underlying graph of  $G$ .

**Definition 7.** A *path* from vertex  $x$  to vertex  $y$  in a graph (or oriented graph)  $G$  is a finite sequence of distinct vertices  $v_1, v_2, \dots, v_k$  in  $G$  such that  $v_1 = x$ ,  $v_k = y$ , and  $v_i$  is adjacent to  $v_{i+1}$  for all  $i \in \{1, 2, \dots, k-1\}$ .

**Definition 8.** A vertex  $x$  is *connected* to a vertex  $y$  in a graph (or oriented graph)  $G$  if and only if there is a path from  $x$  to  $y$  in  $G$ .

Note that every vertex  $v$  in a graph  $G$  is connected to itself since the sequence  $(v)$  is a path from  $v$  to  $v$ .

**Definition 9.** A graph (or oriented graph)  $G$  is called *connected* if for each  $x, y \in V(G)$ ,  $x$  is connected to  $y$ .

**Definition 10.** A digraph is called *(weakly) connected* if its underlying graph is connected.

**Definition 11.** An *oriented path graph* is an oriented graph whose underlying graph is a path graph.

The vertices along an oriented path graph will be denoted as  $v_1, v_2, \dots, v_n$  such that  $v_1$  is adjacent to  $v_2$ ,  $v_2$  is adjacent to  $v_3$ ,  $\dots$ ,  $v_{n-1}$  is adjacent to  $v_n$ .

## 2.2 Some Pebbling Definitions

In this section we will go over some definitions and notations that apply to pebbling in general. We will also go over some miscellaneous definitions that do not fit into the other sections.

For a graph (or digraph)  $G$  we denote the set of all functions from  $V(G)$  to  $\mathbb{Z}$  as  $\mathfrak{J}$ . The set of all nonnegative functions in  $\mathfrak{J}$  will be denoted as  $\mathfrak{C}$ .

A placement of pebbles on the vertices of a graph (or oriented graph)  $G$  is called a *configuration* on  $G$ . This can be formalized as a vertex labeling of nonnegative integers on  $G$ . A configuration can be thought of as the current state of the game. Note: some authors call configurations *distributions*. We give the formal definition below:

**Definition 12.** Let  $G$  be a graph (or an oriented graph). A function  $C$  is called a *configuration on  $G$*  if and only if  $C \in \mathfrak{C}$ .

The size of a configuration  $C$ , denoted  $|C|$ , is the sum  $\sum_{v \in V(G)} C(v)$ . In other words,  $|C|$  is the number of pebbles on the graph when in configuration  $C$ .

The 1-configuration  $C$  is the configuration where  $C(v) = 1$  for every vertex  $v$ .



**Definition 13.** A configuration is called *simple* if all the pebbles are on one vertex.

**Definition 14.** Given a configuration  $C$  with  $n$  pebbles, to *concentrate* all pebbles from  $C$  to a vertex  $v$  means to place all pebbles on  $v$  to get the initial simple configuration with all  $n$  pebbles on  $v$ .

**Definition 15.** Let  $G$  be an oriented graph. Let  $A = \{(x, y) : x \text{ is adjacent to } y\}$ . A *cost function*  $\mathbf{c}$  of  $G$  is a function from  $A$  to the set of integers greater than 1 such that for all  $(a, b) \in E(G)$  and all  $(a', b') \in E(G)$  we have that  $\mathbf{c}(a, b) = \mathbf{c}(a', b')$  and  $\mathbf{c}(b, a) = \mathbf{c}(b', a')$ . In other words, the cost to move a pebble with an edge orientation is the same for all edges, and the cost to move a pebble against an edge orientation is the same for all edges. The cost to move a pebble with an edge orientation is called the *toll*, and the cost to move a pebble against an edge orientation is called the *fee*. Typically the toll is not greater than the fee.

Let's say we are moving a pebble from  $u$  to  $v$ , where  $u$  and  $v$  are adjacent vertices. The cost function represents the number of pebbles we must remove from  $u$  in order to place a pebble on  $v$  (including the pebble we moved to  $v$ ). For example, if the cost from  $u$  to  $v$  is 3 (i.e.,  $\mathbf{c}(u, v) = 3$  where  $\mathbf{c}$  is the cost function), then moving a pebble across the edge from  $u$  to  $v$  would consist of subtracting 3 pebbles from  $u$  and adding 1 pebble to  $v$ .

**Definition 16.** The cost function of an oriented graph will be called  $\mathbf{c}$ . The 2-3 *cost function* is the cost function with toll 2 and fee 3. In general, the  $t$ - $f$  *cost function* is the cost function with toll  $t$  and fee  $f$ .

A *move* is a function from  $\mathfrak{C}$  to  $\mathfrak{I}$  satisfying certain conditions. A *pebbling move* is a move that maps configurations to configurations. So the image of a pebbling move is a subset of  $\mathfrak{C}$ .

The formal definition of a pebbling move was given in [11] as definition 2.2. We give this definition below (written using our notation):

**Definition 17.** Let  $G$  be a graph and let  $x$  and  $y$  be two adjacent vertices of  $G$ . For

all  $C \in \mathfrak{C}$ , define the function  $P_{x,y} : \mathfrak{C} \rightarrow \mathfrak{I}$  by

$$[P_{x,y}(C)](s) = \begin{cases} C(s) - 2 & \text{if } s = x \\ C(s) + 1 & \text{if } s = y \\ C(s) & \text{otherwise.} \end{cases}$$

If  $P_{x,y}(C) \in \mathfrak{C}$ , then  $P$  is called a *pebbling move* on  $C$  (from  $x$  to  $y$ ).

For oriented graphs the number of pebbles we remove from the source vertex depends on the orientation of the edge we are crossing. Let  $G$  be an oriented graph. Suppose we are moving a pebble across an edge from  $x$  to  $y$ . If  $(x, y) \in E(G)$  then we are moving with the edge orientation. So we must subtract 2 pebbles from  $x$  while adding 1 pebble to  $y$ . On the other hand, if  $(y, x) \in E(G)$  then we are moving against the edge orientation. Here we must subtract 3 pebbles from  $x$  and add 1 pebble to  $y$ . In general, when moving a pebble across an edge from  $x$  to  $y$  we subtract  $\mathbf{c}(x, y)$  pebbles from  $x$  and add 1 pebble to  $y$ .

We adopt the above definition of a pebbling move to oriented graphs.

**Definition 18.** Let  $x$  and  $y$  be two adjacent vertices of an oriented graph  $G$ . For all  $C \in \mathfrak{C}$ , define the function  $P_{x,y} : \mathfrak{C} \rightarrow \mathfrak{I}$  by

$$[P_{x,y}(C)](s) = \begin{cases} C(s) - \mathbf{c}(x, y) & \text{if } s = x \\ C(s) + 1 & \text{if } s = y \\ C(s) & \text{otherwise.} \end{cases}$$

If  $P_{x,y}(C) \in \mathfrak{C}$ , then  $P$  is called a *pebbling move* on  $C$  (from  $x$  to  $y$ ).

We often want to know whether we can obtain a configuration  $C'$  from another configuration  $C$  by a sequence of pebbling moves. We say that  $C'$  is *derivable* from  $C$  if there exists such a sequence of pebbling moves. This sequence of pebbling moves is called a *sequence of consecutive pebbling moves* from  $C$  to  $C'$ . We define both of these below by adopting Definition 2.3 given in [11].

**Definition 19.** Let  $C$  and  $C'$  be configurations on a graph (or oriented graph)  $G$ . Let  $S = (P_1, P_2, \dots, P_n)$  be a sequence of functions from  $\mathfrak{C}$  to  $\mathfrak{C}$ . If  $P_i$  is a pebbling move on  $P_{i-1} \circ \dots \circ P_1(C)$  for each  $i \in \{1, \dots, n\}$ , and  $P_n \circ \dots \circ P_1(C) = C'$ , then we call  $S$  a *sequence of consecutive pebbling moves* from  $C$  to  $C'$ .

**Definition 20.** Let  $C$  and  $C'$  be configurations on a graph (or oriented graph)  $G$ . If there exists a sequence of consecutive pebbling moves from  $C$  to  $C'$  then we say that  $C'$  is *derivable* from  $C$ .

**Definition 21.** To *pebble* a vertex  $v$  means to make a sequence of consecutive pebbling moves to get a configuration with a pebble on  $v$ . To *pebble* a vertex  $v$  from a vertex  $u$  means to make a sequence of valid pebbling moves to move a pebble from  $u$  to  $v$ .

**Definition 22.** The *cost* to pebble a vertex  $v$  from a vertex  $u$  is the least number of pebbles we need to initially place on  $u$ , only placing pebbles on  $u$ , such that we can pebble  $v$  from  $u$ . The *cost* to move  $w$  pebbles from a vertex  $u$  to a vertex  $v$  is the least number of pebbles we need to initially place on  $u$ , only placing pebbles on  $u$ , such that we can move  $w$  pebbles from  $u$  to  $v$ .

For a nonnegative integer  $m$  and a vertex  $v$  we will denote the set of configurations with at least  $m$  pebbles on  $v$  as  $\mathfrak{F}_m(v)$ . When  $m$  is 1 we will denote this as  $\mathfrak{F}(v)$ .

Let  $\omega$  be a configuration on a graph (or oriented graph)  $G$ . The set of configurations with at least  $\omega(u)$  pebbles on every vertex  $u \in V(G)$  will be denoted as  $\mathfrak{W}_\omega$ . When  $\omega$  is the 1-configuration we will denote this as  $\mathfrak{F}_\omega(v)$ .

## 2.3 Definitions for Traditional and Target Pebbling

Since traditional and target pebbling are very similar, we will put these definitions into one section.

**Definition 23.** Let  $G$  be a graph (or an oriented graph) and let  $t \in V(G)$ . Let  $m$  be a nonnegative integer. A configuration  $C$  on  $G$  is called  $(t, m)$ -*solvable* if there exists a configuration  $F \in \mathfrak{F}_m(t)$  such that  $F$  is derivable from  $C$ .  $C$  is called  $(t, m)$ -*unsolvable* if  $C$  is not  $(t, m)$ -solvable. When  $m = 1$  we say that  $C$  is  $t$ -solvable or  $t$ -unsolvable if  $C$  is  $(t, 1)$ -solvable or  $(t, 1)$ -unsolvable respectively.

**Definition 24.** Let  $G$  be a graph (or an oriented graph) and let  $t \in V(G)$ . Let  $m$  be a nonnegative integer. A  $(t, m)$ -*solution* to a configuration  $C$  on  $G$  is a sequence of consecutive pebbling moves from  $C$  to a configuration in  $\mathfrak{F}_m(t)$ . We call a sequence of consecutive pebbling moves a  $t$ -*solution* to  $C$  if it is a  $(t, 1)$ -solution to  $C$ .

**Definition 25.** Let  $G$  be a graph (or an oriented graph) and let  $m$  be a nonnegative integer. A configuration  $C$  on  $G$  is called *solvable with weight  $m$*  if for each  $t \in V(G)$ ,  $C$  is  $(t, m)$ -solvable.  $C$  is called *unsolvable with weight  $m$*  if  $C$  is not solvable with weight  $m$ . When  $m = 1$  then  $C$  is simply called *solvable* or *unsolvable* if  $C$  is solvable with weight  $m$  or unsolvable with weight  $m$  respectively.

**Definition 26.** Let  $G$  be a connected graph (or connected oriented graph) and let  $m$  be a nonnegative integer. The *weighted pebbling number* of  $G$  (of weight  $m$ ), denoted  $\pi_m(G)$ , is the smallest nonnegative integer  $n$  such that every configuration on  $G$  of size  $n$  is solvable with weight  $m$ . The *pebbling number* of  $G$ , denoted  $\pi(G)$ , is the number  $\pi_1(G)$ .

**Definition 27.** Let  $G$  be a connected graph (or connected oriented graph). Let  $t \in V(G)$ , and let  $m$  be a nonnegative integer. The *target pebbling number* of  $G$  with target  $t$  and weight  $m$ , denoted  $\tau_m(G, t)$ , is the smallest nonnegative integer  $n$  such that every configuration on  $G$  of size  $n$  is  $(t, m)$ -solvable. The *target pebbling number* of  $G$  with target  $t$ , denoted  $\tau(G, t)$ , is the number  $\tau_1(G, t)$ .

## 2.4 Cover Pebbling Definitions

**Definition 28.** Let  $G$  be a connected graph (or connected oriented graph). Let  $\omega$  be a configuration on  $G$ . A configuration  $C$  on  $G$  is called  *$\omega$ -cover solvable* if there exists a configuration  $W \in \mathfrak{W}_\omega$  such that  $W$  is derivable from  $C$ .  $C$  is called  *$\omega$ -cover unsolvable* if  $C$  is not  $\omega$ -cover solvable. When  $\omega$  is the 1-configuration we say that  $G$  is *cover solvable* or *cover unsolvable* if  $G$  is  $\omega$ -cover solvable or  $\omega$ -cover unsolvable respectively.

**Definition 29.** Let  $G$  be a connected graph (or connected oriented graph). Let  $\omega$  be a goal configuration on  $G$ . An  *$\omega$ -cover solution* to a configuration  $C$  is a sequence of consecutive pebbling moves from  $C$  to a configuration in  $\mathfrak{W}_\omega$ . When  $\omega$  is the 1-configuration then this is simply called a *cover solution* to  $C$ .

**Definition 30.** Let  $G$  be a connected graph (or connected oriented graph). Let  $\omega$  be a configuration on  $G$ . The  *$\omega$ -cover pebbling number* of  $G$ , denoted  $\gamma_\omega(G)$ , is the smallest nonnegative integer  $n$  such that every configuration of  $n$  pebbles on  $G$  is  $\omega$ -cover solvable. When  $\omega$  is the 1-configuration this is simply called the *cover pebbling*

number of  $G$  and is denoted  $\gamma(G)$ .

**Definition 31.** Let  $G$  be a graph (or oriented graph). To *cover*  $G$  means to make a sequence of consecutive pebbling moves to obtain a configuration where every vertex has a pebble on it. To *cover*  $G$  from a vertex  $u$  means to cover  $G$  such that in the resulting configuration, every vertex has a pebble on it that was initially on  $u$ . To *cover*  $G$  from a set of vertices  $S$  means to cover  $G$  such that in the initial configuration every vertex in  $S$  has a pebble on it, and in the resulting configuration every vertex has a pebble on it that was initially on a vertex in  $S$ .

**Definition 32.** Let  $G$  be a graph (or oriented graph). The *cost* to cover  $G$  from a vertex  $u$  is the least number of pebbles we need to initially place on  $u$ , only placing pebbles on  $u$ , such that  $G$  is cover solvable from this initial configuration. The *cost* to cover  $G$  from a set of vertices  $S$  is the least number of pebbles we need to initially place on the vertices in  $S$ , placing at least one pebble on every vertex in  $S$  and no pebbles on any other vertex, such that  $G$  is cover solvable from this initial configuration.

**Definition 33.** Let  $G$  be a connected undirected graph. Let  $\omega$  be a configuration on  $G$ . Then we define  $s_\omega(G) = \max_{v \in V(G)} \sum_{u \in V(G)} \omega(u) \cdot 2^{d(u,v)}$ , where  $d(u, v)$  is the distance between  $u$  and  $v$ .

**Definition 34.** Let  $\omega$  be a configuration on a graph  $G$  (or oriented graph). A vertex  $v$  of  $G$  is called *fat*, if the number of pebbles on it is greater than  $\omega(v)$ .

## Chapter 3

# Known Results

As stated in the introduction, graph pebbling came about because of an attempt by Lagarias and Saks to give an alternate proof to a theorem about zero-sum sequences (see introduction in [1]). This chapter will go over known results for traditional pebbling (which will include target pebbling) and for cover pebbling. In this chapter  $G$  will denote a connected graph.

### 3.1 Known Results for Traditional Pebbling

We will start by giving four basic facts about traditional pebbling. All of these basic facts are taken from in [6] (also reiterated in [5]).  $G$  will denote a connected graph.

**Fact 1.** (Depth Lower Bound, Fact 2.1 in [6]).  $\pi(G) \geq 2^{\text{diam}(G)}$ , where  $\text{diam}(G)$  is the diameter of  $G$ .

Why is this so? If the pebbler buys less than  $2^{\text{diam}(G)}$  then the configurer can choose a target vertex  $t$  and another vertex  $v$  such that the distance between  $t$  and  $v$  is  $\text{diam}(G)$ . Initially placing all pebbles on  $v$  results in a configuration where  $t$  cannot be pebbled.

**Fact 2.** (Breadth Lower Bound, Fact 2.1 in [6]).  $\pi(G) \geq |V(G)|$ .

If this were not the case, then the configurer can place at most one pebble on every vertex except the chosen target vertex. From here the target vertex cannot be pebbled.

**Fact 3.** (Pigeonhole Upper Bound, Fact 2.1 in [6]).  $\pi(G) \leq (2^{\text{diam}(G)} - 1)(|V(G)| - 1) + 1$ .

Suppose this were not the case. Then by the pigeonhole principle the configurer must place at least  $2^{\text{diam}(G)}$  pebbles on some vertex  $v$ . From here any target vertex can be pebbled from  $v$ .

**Fact 4.** (Cut Lower Bound, Fact 2.4 in [6]). If  $G$  contains a cut vertex  $x$ , then  $\pi(G) > |V(G)|$ .

Again, to show this assume it is not true. Let  $A$  and  $B$  be two different components of  $G - x$ . Let  $v$  be a vertex in  $A$  and let  $t$  be a vertex in  $B$ . Then the configurer can place 3 pebbles on  $v$  and 1 pebble on each of the other vertices except  $x$  and  $t$ . From here  $t$  cannot be pebbled.

**Theorem 1.** [5]  $\pi(K_n) = n$ .

*Proof.* By the breadth lower bound fact we have that  $\pi(K_n) \geq n$ . From the pigeonhole upper bound fact we have that  $\pi(K_n) \leq (2^{\text{diam}(G)} - 1)(n - 1) + 1 = (2^1 - 1)(n - 1) + 1 = n - 1 + 1 = n$ .  $\square$

**Theorem 2.** [5]  $\pi(P_n) = 2^{n-1}$ , where  $P_n$  is the undirected path graph on  $n$  vertices.

*Proof.* We will show this by induction on  $n$ . If  $n = 1$  then  $\pi(P_n) = \pi(P_1) = 1 = 2^0 = 2^{n-1}$ .

Now suppose  $n \geq 2$ . From the depth lower bound fact we have that  $\pi(P_n) \geq 2^{\text{diam}(G)} = 2^{n-1}$ . So we only need to show that any vertex can be pebbled from any configuration of  $2^{n-1}$  pebbles.

Suppose  $2^{n-1}$  pebbles are placed on  $P_n$ . Let  $A$  be the path graph from  $v_1$  to  $v_{n-1}$  and let  $B$  be the path graph from  $v_2$  to  $v_n$ . Then  $A$  and  $B$  are both path graphs on  $n - 1$  vertices. Since  $A$  and  $B$  together contain all the vertices of  $P_n$ , either  $|A| \geq 2^{n-2}$  or  $|B| \geq 2^{n-2}$  (recall  $|G|$  is the number of pebbles on a graph  $G$ ). WLOG suppose  $|A| \geq 2^{n-2}$ . Let  $t$  be the target vertex. If  $t \in V(A)$  then by the inductive hypothesis we can pebble  $t$ . So we can assume  $t \notin |A|$ . In other words  $t = v_n$ . If  $|A| \neq 2^{n-1}$  then there is a pebble on  $v_n = t$ . So we can assume that  $|A| = 2^{n-1} = 2^{n-2} + 2^{n-2}$ . By the inductive hypothesis we can place a pebble on  $v_{n-1}$  by using at most  $2^{n-2}$  pebbles from  $A$ . After doing this  $A$  will have at least  $2^{n-2}$  pebbles still on it. From here we can place another pebble on  $v_{n-1}$  by using the remaining  $2^{n-1}$  pebbles on  $A$ . After placing

2 pebbles on  $v_{n-1}$  we simply make a pebbling move from  $v_{n-1}$  to  $v_n$ , pebbling the target vertex.  $\square$

One of the first results in graph pebbling is the pebbling number of cubes. In 1989 Fan Chung [1] showed that the pebbling number of an  $n$  dimensional cube is  $2^n$ .

In 1995 Pachter, Snevily, and Voxman found the pebbling number for cycles in [9]. This is given in the following theorem:

**Theorem 3.** [9] *For  $k \geq 1$ ,  $\pi(C_{2k}) = 2^k$  and  $\pi(C_{2k+1}) = 2\lfloor 2^{k+1}/3 \rfloor + 1$ .*

Pachter, Snevily, and Voxman [9] also showed that if  $\text{diam}(G) = 2$  then  $\pi(G) \leq |V(G)| + 1$ . Since  $\pi(G) \geq |V(G)|$ , we get the following theorem:

**Theorem 4.** *If  $\text{diam}(G) = 2$  then either  $\pi(G) = |V(G)|$  or  $\pi(G) = |V(G)| + 1$ .*

We say that  $G$  is in *Class 0* if  $\pi(G) = |V(G)|$ . If  $G$  is not in class 0, then we say  $G$  is in *Class 1*.

In 1997 Clarke, Hochberg, and Hurlbert [2] showed that if  $G$  is 3-connected and  $\text{diam}(G) = 2$  then  $\pi(G) = |V(G)|$ . Using this theorem they showed that almost all graphs are in class 0.

Now let us look at the Petersen graph, shown in figure 3.1.

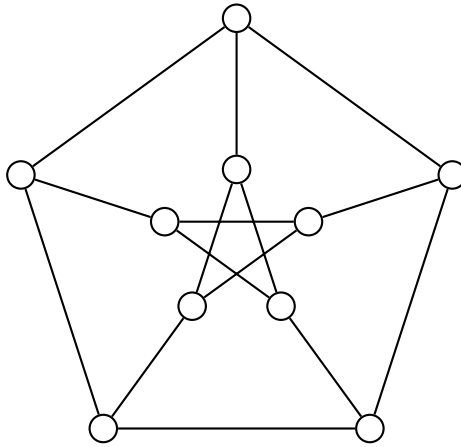


Figure 3.1: The Petersen graph.



Hurlbert [6] showed that the pebbling number of the Petersen graph is 10. One way to see this is to use the fact that the Petersen graph is 3-connected and has diameter 2. The result then follows from theorem 4.

## 3.2 Known Results for Cover Pebbling

Cover pebbling was introduced in 2004 by Crull et al. in their preprinted paper [3], which was published in 2005 [4]. They introduced a generalized version of cover pebbling called weighted cover pebbling. Weighted cover pebbling works the same way as normal cover pebbling except the goal configuration is specified by a weight function  $\omega$ . The goal of the pebbler is to obtain a configuration where for each vertex  $v$ ,  $v$  has at least  $\omega(v)$  pebbles on it. In their paper [4] they established the cover pebbling number of several classes of graphs. We will list some of their results below.

**Theorem 5.** [4]  $\gamma(K_n) = 2n - 1$ .

**Theorem 6.** [4]  $\gamma_\omega(K_n) = 2|\omega| - \min \omega$ , where  $|\omega| = \sum_{v \in V(K_n)} \omega(v)$  and  $\min \omega = \min_{v \in V(K_n)} \omega(v)$ .

**Theorem 7.** [4]  $\gamma(P_n) = 2^n - 1$ , where  $P_n$  is the undirected path graph on  $n$  vertices.

A *fuse* on  $n$  vertices with wick length  $l - 1$ , denoted  $F_l(n)$ , is a graph on the vertices  $v_1, \dots, v_n$  such that the vertices  $v_1, \dots, v_l$  form a path and the remaining vertices are independent and adjacent only to  $v_l$ .

**Theorem 8.** [4]  $\gamma(F_l(n)) = (n - l + 1)2^l - 1$ .

**Theorem 9.** [4] Let  $T$  be a tree. Then  $\gamma_\omega(T) = s_\omega(T)$ . (Recall  $s_\omega(T) = \max_{v \in V(T)} \sum_{u \in V(T)} \omega(u) \cdot 2^{d(u,v)}$ , where  $d(u, v)$  is the distance between  $u$  and  $v$ ).

In 2006 Hurlbert and Munyan [7] showed that the cover pebbling number of an  $n$ -dimensional cube is  $3^n$ .

### 3.2.1 The Stacking Theorem

By far the most important theorem for cover pebbling is the Stacking Theorem. It states that in order to find the cover pebbling number of a graph we only need to consider

simple initial configurations. In other words, we can ignore any initial configuration which has pebbles on more than one vertex. This is equivalent to saying that  $\gamma_\omega(G) = s_\omega(G)$ .

The Stacking Theorem was proved in 2004 by Vuong and Wyckoff [11] and also independently by Jonas Sjöstrand [10]. Jonas Sjöstrand showed that for any initial configuration that is not cover solvable, all pebbles can be concentrated to one of the fat vertices and the resulting configuration will still not be cover solvable. If there are no fat vertices, then any vertex can be chosen to concentrate all the pebbles to.

## Chapter 4

# Traditional and Target Pebbling on Oriented Paths

In section 2.3 we defined the traditional and target pebbling numbers of a connected oriented graph  $G$ . Recall that the traditional pebbling number of  $G$  is denoted  $\gamma(G)$ . The target pebbling number of  $G$  with target  $t$  and weight  $m$  is denoted  $\tau_m(G, t)$ . When the weight is 1 we denote the target pebbling number of  $G$  as  $\tau(G, t)$ . Also recall that the cost function of an oriented graph is denoted  $\mathbf{c}$ .

**Lemma 1.** *Let  $P_n$  be an oriented path on  $n$  vertices. Then  $\tau_m(P_n, v_n) = m \prod_{i=1}^{n-1} \mathbf{c}(v_i, v_{i+1})$ .*

*Proof.* We will prove this by induction on  $n$ . When  $n = 1$  we have  $m \prod_{i=1}^0 \mathbf{c}(v_i, v_{i+1}) = m \cdot 1 = m = \tau_m(P_1, v_1)$ . Now suppose  $n \geq 1$ . Our inductive hypothesis says that  $\tau_q(P_{n-1}, v_{n-1}) = q \prod_{i=1}^{n-2} \mathbf{c}(v_i, v_{i+1})$  (for any nonnegative integer  $q$ ). So in  $P_{n-1}$  the minimum number of pebbles we need in order to guarantee that we can place  $m \cdot \mathbf{c}(v_{n-1}, v_n)$  pebbles on  $v_{n-1}$  is  $m \cdot \mathbf{c}(v_{n-1}, v_n) \prod_{i=1}^{n-2} \mathbf{c}(v_i, v_{i+1}) = m \prod_{i=1}^{n-1} \mathbf{c}(v_i, v_{i+1})$ . After placing  $m \cdot \mathbf{c}(v_n, v_{n+1})$  pebbles on  $v_{n-1}$  we simply perform  $m$  pebbling moves from  $v_{n-1}$  to  $v_n$  which result in  $m$  pebbles on  $v_n$ . We need at least  $m \prod_{i=1}^n \mathbf{c}(v_i, v_{i+1})$  pebbles to guarantee that we can place  $m$  pebbles on  $v_n$ . Otherwise we could choose an initial configuration which cannot place  $m \cdot \mathbf{c}(v_{n-1}, v_n)$  pebbles on  $v_{n-1}$ . But we need at least  $m \cdot \mathbf{c}(v_{n-1}, v_n)$  pebbles on  $v_{n-1}$  to place  $m$  pebbles on  $v_n$ . Therefore  $\tau_m(P_n, v_n) = m \prod_{i=1}^{n-1} \mathbf{c}(v_i, v_{i+1})$ .  $\square$

**Remark 1.** Since  $P_n$  is isomorphic to itself via the automorphism  $\phi(v_i) = v_{n+1-i}$  Lemma 1 tells us that  $\tau_m(P_n, v_1) = m \prod_{i=1}^{n-1} \mathbf{c}(v_{i+1}, v_i)$ .

**Lemma 2.** If  $a, b, c, d \geq 2$  then either  $a + d \leq ab$ , or  $a + d \leq cd$ .

*Proof.* Suppose  $a + d > ab$ . Since  $b \geq 2$  we have  $a + d > ab \geq 2a$ . Subtracting  $a$  from both sides of this inequality yields  $d > 2a - a = a$ . So

$$\begin{aligned} a + d &< d + d \\ &= 2d \\ &\leq cd, \end{aligned}$$

which completes the proof.  $\square$

**Theorem 10.** Let  $P_n$  be an oriented path on  $n \geq 3$  vertices. If  $j \in \{2, 3, \dots, n-1\}$  then

$$\begin{aligned} \tau(P_n, v_j) &= \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) - 1 \\ &< \max\{\tau(P_n, v_1), \tau(P_n, v_n)\}. \end{aligned}$$

*Proof.* Let  $A$  be the subgraph of  $P_n$  induced by  $\{v_1, v_2, \dots, v_j\}$ . Let  $B$  be the subgraph of  $P_n$  induced by  $\{v_j, v_{j+1}, \dots, v_n\}$ .

We can place  $\tau(A, v_j) - 1$  pebbles on  $A$  without being able to pebble  $v_j$ . Similarly we can place  $\tau(B, v_j) - 1$  pebbles on  $B$  without being able to pebble  $v_j$ . So

$$\begin{aligned} \tau(P_n, v_j) &> \tau(A, v_j) - 1 + \tau(B, v_j) - 1 \\ &= \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) - 2. \end{aligned}$$

Now let us start by placing  $\prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) - 1$  pebbles on  $P_n$ . If  $A$  has less than  $\prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1})$  pebbles on it, then  $B$  has at least  $\prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i)$ . So either  $A$  has at least  $\prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) = \tau(A, v_j)$  pebbles on it or  $B$  has at least  $\prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) = \tau(B, v_j)$

on it. So we can place a pebble on  $v_j$ . Therefore,

$$\tau(P_n, v_j) = \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) - 1.$$

Since  $n \geq 3$ ,  $2 \leq j \leq n-1$ ,  $\mathbf{c}(v_i, v_{i+1}) \geq 2$  and  $\mathbf{c}(v_{i+1}, v_i) \geq 2$  (for  $i$  from 1 to  $n$ ) we have

$$\begin{aligned} \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) &\geq 2, \\ \prod_{i=j}^{n-1} \mathbf{c}(v_i, v_{i+1}) &\geq 2, \\ \prod_{i=1}^{j-1} \mathbf{c}(v_{i+1}, v_i) &\geq 2, \text{ and} \\ \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) &\geq 2. \end{aligned}$$

So by Lemma 2 either

$$\begin{aligned} \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) &\leq \left( \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) \right) \cdot \left( \prod_{i=j}^{n-1} \mathbf{c}(v_i, v_{i+1}) \right) \\ &= \prod_{i=1}^{n-1} \mathbf{c}(v_i, v_{i+1}) \\ &= \tau(P_n, v_n) \end{aligned}$$

or

$$\begin{aligned} \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) &\leq \left( \prod_{i=1}^{j-1} \mathbf{c}(v_{i+1}, v_i) \right) \cdot \left( \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) \right) \\ &= \prod_{i=1}^{n-1} \mathbf{c}(v_{i+1}, v_i) \\ &= \tau(P_n, v_1). \end{aligned}$$

So either

$$\tau(P_n, v_j) = \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) - 1 < \tau(P_n, v_n)$$

or

$$\tau(P_n, v_j) = \prod_{i=1}^{j-1} \mathbf{c}(v_i, v_{i+1}) + \prod_{i=j}^{n-1} \mathbf{c}(v_{i+1}, v_i) - 1 < \tau(P_n, v_1).$$

Therefore  $\tau(P_n, v_j) < \max\{\tau(P_n, v_1), \tau(P_n, v_n)\}$ . □

**Corollary 1.** *For an oriented path  $P_n$  on  $n$  vertices,  $\pi(P_n) = \max\{\prod_{i=1}^{n-1} \mathbf{c}(v_i, v_{i+1}), \prod_{i=1}^{n-1} \mathbf{c}(v_{i+1}, v_i)\}$ .*

*Proof.* If  $n \leq 2$  this is trivially satisfied. So suppose  $n \geq 3$ . By Theorem 10 it follows that  $\tau(P_n, v_j) < \max\{\tau(P_n, v_n), \tau(P_n, v_1)\}$  for all  $i \in \{2, 3, \dots, n-1\}$ . So

$$\begin{aligned} \pi(P_n) &= \max_{1 \leq i \leq n} \tau(P_n, v_i) \\ &= \max\{\tau(P_n, v_n), \tau(P_n, v_1)\} \\ &= \max\left\{\prod_{i=1}^{n-1} \mathbf{c}(v_i, v_{i+1}), \prod_{i=1}^{n-1} \mathbf{c}(v_{i+1}, v_i)\right\}. \end{aligned}$$

□

## Chapter 5

# Cover Pebbling on Oriented Paths

**Lemma 3.** *Let  $P_n$  be an oriented path on  $n \geq 3$  vertices. Let  $i, j, k \in \{1, 2, \dots, n\}$  such that  $i < j < k$ . Then the cost to move  $w$  pebbles from  $v_j$  to  $v_k$  is less than the cost to move  $w$  pebbles from  $v_i$  to  $v_k$ .*

*Proof.* The cost to move one pebble from  $v_j$  to  $v_k$  is  $\prod_{t=j}^{k-1} c(v_t, v_{t+1})$ . Repeating this  $w$  times we get that the cost to move  $w$  pebbles from  $v_j$  to  $v_k$  is  $w \prod_{t=j}^{k-1} c(v_t, v_{t+1})$ . Similarly, the cost to move  $w$  pebbles from  $v_i$  to  $v_k$  is  $w \prod_{t=i}^{k-1} c(v_t, v_{t+1})$ . Since  $i < j$  and  $c(v_t, v_{t+1}) > 0$  for all  $t \in \{1, 2, \dots, n\}$ , we have that  $w \prod_{t=j}^{k-1} c(v_t, v_{t+1}) < w \prod_{t=i}^{k-1} c(v_t, v_{t+1})$ .  $\square$

**Remark 2.** Let  $i, j$ , and  $k$  be given as in Lemma 3. Then by symmetry the following statement follows from Lemma 3: the cost to move  $w$  pebbles from  $v_j$  to  $v_i$  is less than the cost to move  $w$  pebbles from  $v_k$  to  $v_i$ .

**Lemma 4.** *Let  $P_n$  be an oriented path on  $n \geq 4$  vertices. Let  $h, i, j, k \in \{1, 2, \dots, n\}$  such that  $h \leq i < j \leq k$ . The cost to pebble  $v_h$  from  $v_j$  plus the cost to pebble  $v_k$  from  $v_i$  is more expensive than the cost to pebble  $v_h$  from  $v_i$  plus the cost to pebble  $v_k$  from  $v_j$ .*

*Proof.* The cost to pebble  $v_h$  from  $v_j$  is  $\prod_{t=h}^{j-1} c(v_{t+1}, v_t)$  and the cost to pebble  $v_k$  from  $v_i$

is  $\prod_{t=i}^{k-1} \mathbf{c}(v_t, v_{t+1})$ . Similarly, the cost to pebble  $v_h$  from  $v_i$  is  $\prod_{t=h}^{i-1} \mathbf{c}(v_{t+1}, v_t)$  and the cost to pebble  $v_k$  from  $v_j$  is  $\prod_{t=j}^{k-1} \mathbf{c}(v_t, v_{t+1})$ . Since  $h \leq i < j \leq k$  we have  $\prod_{t=h}^{i-1} \mathbf{c}(v_{t+1}, v_t) < \prod_{t=h}^{j-1} \mathbf{c}(v_{t+1}, v_t)$  and  $\prod_{t=j}^{k-1} \mathbf{c}(v_t, v_{t+1}) < \prod_{t=i}^{k-1} \mathbf{c}(v_t, v_{t+1})$ . So  $\prod_{t=h}^{j-1} \mathbf{c}(v_{t+1}, v_t) + \prod_{t=i}^{k-1} \mathbf{c}(v_t, v_{t+1}) < \prod_{t=h}^{i-1} \mathbf{c}(v_{t+1}, v_t) + \prod_{t=j}^{k-1} \mathbf{c}(v_t, v_{t+1})$ , which completes the proof.  $\square$

**Lemma 5.** *Let  $P_n$  be an oriented path on  $n > 3$  vertices. Let  $h, i, j, k \in \{1, 2, \dots, n\}$  such that  $h < i < j < k$ . Then the cost to pebble  $v_k$  from  $v_h$  plus the cost to pebble  $v_j$  from  $v_i$  is more expensive than the cost to pebble  $v_j$  from  $v_h$  plus the cost to pebble  $v_k$  from  $v_i$ .*

*Proof.* Let  $a \geq 2$  be the cost to pebble  $v_i$  from  $v_h$ ,  $b \geq 2$  be the cost to pebble  $v_j$  from  $v_i$ , and  $c \geq 2$  be the cost to pebble  $v_k$  from  $v_j$ . Then the cost to pebble  $v_j$  from  $v_h$  is  $ab$ , the cost to pebble  $v_k$  from  $v_i$  is  $bc$ , and the cost to pebble  $v_k$  from  $v_h$  is  $abc$ . So we need to show that  $abc + b > ab + bc$ .

Since  $a \geq 2$ ,  $b \geq 2$ , and  $c \geq 2$  we have that  $ac + 1 > a + c$ .

So  $b(ac + 1) > b(a + c) \implies abc + b > ab + bc$ .  $\square$

**Remark 3.** Let  $h, i, j$ , and  $k$  be given as in Lemma 5. Then by symmetry the following statement follows from Lemma 5: the cost to pebble  $v_h$  from  $v_k$  plus the cost to pebble  $v_i$  from  $v_j$  is more expensive than the cost to pebble  $v_i$  from  $v_k$  plus the cost to pebble  $v_h$  from  $v_j$ .

**Lemma 6.** *Let  $P_n$  be an oriented path on  $n \geq 2$  vertices. Then covering  $P_n$  only from  $v_1$  or only from  $v_n$  is more expensive than covering  $P_n$  from both  $v_1$  and  $v_n$ .*

*Proof.* Suppose  $P_n$  is being covered from  $v_1$  and  $v_n$ . Let  $v_j$  be the vertex pebbled from  $v_1$  that is furthest away from  $v_1$ ,  $1 \leq j < n$ . Let  $M$  be the path from  $v_1$  to  $v_j$  and let  $N$  be the path from  $v_{j+1}$  to  $v_n$ . If  $n \geq 4$  then by Lemma 3 no vertex in  $M$  is pebbled from  $v_n$ , otherwise the pebbler would use more pebbles than needed to cover  $P_n$ . If  $n < 3$  then no vertex in  $M$  is pebbled from  $v_n$  since  $v_1$  and  $v_j$  are both pebbled from  $v_1$  and  $V(M) = \{v_1, v_j\}$ . So all the vertices in  $M$  are pebbled from  $v_1$ . Since  $v_j$  is the furthest



vertex from  $v_1$  being pebbled from  $v_1$ , no vertex in  $N$  is pebbled from  $v_1$ . So all the pebbles in  $N$  are pebbled from  $v_n$ .

Let  $A$  be the cost to cover  $M$  from  $v_1$  and let  $B$  be the cost to cover  $N$  from  $v_n$ . Let  $a > 0$  be the cost to pebble  $v_j$  from  $v_1$  and let  $b > 0$  be the cost to cover  $v_{j+1}$  from  $v_n$ . Let  $c = \mathbf{c}(v_j, v_{j+1})$  and let  $c' = \mathbf{c}(v_{j+1}, v_j)$ ,  $c \geq 2$  and  $c' \geq 2$ . Note that the cost to cover  $v_{j+1}$  from  $v_1$  is  $a \cdot c$  and the cost to cover  $v_j$  from  $v_n$  is  $b \cdot c'$ . We will show that either the cost to cover  $P_n$  increases if we instead pebble  $v_j$  from  $v_n$ , or the cost to cover  $P_n$  increases if we instead pebble  $v_{j+1}$  from  $v_1$ . In other words, either  $A - a + B + b \cdot c' > A + B$  or  $A + a \cdot c + B - b > A + B$ .

Suppose  $A - a + B + b \cdot c' \leq A + B$ . Then

$$\begin{aligned} A + B &\leq A + B + a - b \cdot c' \\ &< A + B + a \cdot c - b \cdot c' \\ &< A + a \cdot c + B - b. \end{aligned}$$

Thus one of the following will increase the cost to pebble  $P_n$ : pebble  $v_{j+1}$  from  $v_1$  instead of from  $v_n$ , or pebble  $v_j$  from  $v_n$  instead of from  $v_1$ .

WOLG suppose pebbling  $v_j$  from  $v_n$  increases the cost. Now applying the same argument as above to this new configuration. Since the cost increased when we pebbled  $v_j$  from  $v_n$  instead of from  $v_1$ , pebbling  $v_{j-1}$  from  $v_n$  instead of from  $v_1$  will also increase the cost. Continue this procedure until all vertices in  $P_n$  are pebbled from  $v_n$ . In each iteration we pebble  $v_k$  from  $v_n$  instead of from  $v_1$ , where  $v_k$  is the current vertex furthest from  $v_1$  being pebbled from  $v_1$ . By applying the above argument this will always increase the cost to pebble  $P_n$ . This procedure must stop since in each new configuration the vertex furthest from  $v_1$  being pebbled by  $v_1$  is closer to  $v_1$ . Therefore the cost to pebble  $P_n$  from  $v_n$  is more expensive than the cost to pebble  $P_n$  from  $v_1$  and  $v_n$ .  $\square$

**Lemma 7.** *Let  $P_n$  be an oriented path on  $n \geq 3$  vertices. Let  $j \in \{2, 3, \dots, n-1\}$ . Then covering  $P_n$  only from  $v_1$  or only from  $v_n$  is more expensive than covering  $P_n$  from  $v_j$ .*

*Proof.* We will first show that either the cost to cover  $P_n$  from  $v_{j-1}$  is more expensive than the cost to cover  $P_n$  from  $v_j$  or the cost to cover  $P_n$  from  $v_{j+1}$  is more expensive

than the cost to cover  $P_n$  from  $v_j$ . Let  $M$  be the path on the vertices  $v_1, \dots, v_{j-1}$  and let  $N$  be the path on the vertices  $v_{j+1}, \dots, v_n$ . Let  $A$  be the cost to cover  $M$  from  $v_{j-1}$  and let  $B$  be the cost to cover  $N$  from  $v_{j+1}$ . Let  $a = \mathbf{c}(v_{j-1}, v_j) \geq 2$ ,  $a' = \mathbf{c}(v_j, v_{j-1}) \geq 2$ ,  $b = \mathbf{c}(v_j, v_{j+1}) \geq 2$ ,  $b' = \mathbf{c}(v_{j+1}, v_j) \geq 2$ . Then the cost to cover  $P_n$  from  $v_j$  is  $a'A + 1 + bB$ , the cost to cover  $P_n$  from  $v_{j-1}$  is  $A + a + abB$ , and the cost to cover  $P_n$  from  $v_{j+1}$  is  $a'b'A + b' + B$ . So we must show that either  $A + a + abB > a'A + 1 + bB$  or  $a'b'A + b' + B > a'A + 1 + bB$ .

Suppose  $A + a + abB \leq a'A + 1 + bB$ . Then

$$\begin{aligned}
& A + a + abB \leq a'A + 1 + bB \\
\Rightarrow & A + a - 1 + abB \leq a'A + bB \\
\Rightarrow & A + a - 1 + abB + (a' - 1)A \leq a'A + bB + (a' - 1)A \\
\Rightarrow & a'A + a - 1 + abB \leq (2a' - 1)A + bB \\
\Rightarrow & a'A + a - 1 + abB - bB \leq (2a' - 1)A \\
\Rightarrow & a'A + a - 1 + (a - 1)bB \leq (2a' - 1)A
\end{aligned}$$

Also  $a'A + a - 1 + (a - 1)bB > a'A + 1 + bB$  and  $(2a' - 1)A < 2a'A \leq a'b'A < a'b'A + b' + B$ . Therefore  $a'A + 1 + bB < a'b'A + b' + B$ . So either it is more expensive to cover  $P_n$  from  $v_{j-1}$  than from  $v_j$  or it is more expensive to cover  $P_n$  from  $v_{j+1}$  than from  $v_j$ .

WOLG suppose the cost to cover  $P_n$  from  $v_{j+1}$  is more expensive than the cost to cover  $P_n$  from  $v_j$ . Then applying the same argument as above we get that the cost to cover  $P_n$  from  $v_{j+2}$  is more expensive than the cost to cover  $P_n$  from  $v_{j+1}$ . Continue this procedure until we finally cover  $P_n$  from  $v_n$ . In each iteration we cover  $P_n$  from the next closest vertex to  $v_n$ , so this procedure must stop. Using the same argument as above we get that the cost to cover  $P_n$  in each iteration is more expensive than in the previous iteration. Therefore the cost to cover  $P_n$  from  $v_n$  is more expensive than the cost to cover  $P_n$  from  $v_j$ .  $\square$

**Lemma 8.** *Let  $P_n$  be an oriented path on  $n$  vertices. Let  $C$  be a most expensive cover solvable configuration on  $P_n$ . Let  $S$  be a cover solution to  $C$ . If all pebbling moves in  $S$  are made towards  $v_n$  (i.e., for each pebbling move there is a  $j \in \{1, 2, \dots, n-1\}$  such that the pebbling move is from  $v_j$  to  $v_{j+1}$ ), then all pebbles in  $C$  are on  $v_1$ .*

*Proof.* Suppose for a contradiction that not all pebbles in  $C$  are on  $v_1$ . Then there is a pebble  $p$  on a vertex  $v_i$  for some  $i \in \{2, 3, \dots, n\}$ . By Lemma 3 placing  $p$  on  $v_1$

instead of on  $v_i$  will increase the cost to pebble  $v_i$ , and thus increase the cost to cover  $P_n$ . So  $C$  is not the most expensive cover solvable configuration. But we assumed it was. Contradiction.  $\square$

**Remark 4.** Let  $C$  and  $S$  be given as in Lemma 8. Then by symmetry the following statement follows from Lemma 8: if all pebbling moves in  $S$  are made towards  $v_1$ , then all pebbles in  $C$  are on  $v_n$ .

**Lemma 9.** *Let  $P_n$  be an oriented path on  $n \geq 3$  vertices. Let  $C$  be a most expensive cover solvable configuration on  $P_n$ . Let  $S$  be a cover solution to  $C$  that uses the least number of pebbles (i.e., one that removes the least number of pebbles from play). If there is a pebbling move in  $S$  from  $v_j$  to  $v_{j+1}$  for some  $j \in \{1, 2, \dots, n-2\}$ , then there is no pebbling move in  $S$  from  $v_{j+2}$  to  $v_{j+1}$ .*

*Proof.* Suppose for a contradiction that a pebbling move is made from  $v_{j+2}$  to  $v_{j+1}$ . Since there is a pebbling move from  $v_j$  to  $v_{j+1}$ , by Lemma 4 there is no pebbling move from  $v_{j+1}$  to  $v_j$ . Similarly, there is no pebbling move from  $v_{j+1}$  to  $v_{j+2}$ . So in the final configuration of  $S$  there is at least two pebbles on  $v_{j+1}$ . But then the pebbler could have used less pebbles to cover  $P_n$  by not making the pebbling move from  $v_{j+2}$  to  $v_{j+1}$ . So  $S$  does not use the least number of pebbles to cover  $P_n$ . But we assumed  $S$  did. Contradiction.  $\square$

**Corollary 2.** *Let  $C$  and  $S$  be given as in Lemma 9. If  $j \leq n-2$  and there is a pebbling move in  $S$  from  $v_j$  to  $v_{j+1}$ , then either there is a pebbling move from  $v_i$  to  $v_{i+1}$  for each  $i \in \{j, j+1, \dots, n-1\}$ , or there is an  $m \in \{j+1, j+2, \dots, n-1\}$  such that there is no pebbling move in either direction across the edge  $\{v_m, v_{m+1}\}$ .*

*Proof.* Suppose there is an  $i \in \{j+1, j+2, \dots, n-1\}$  such that there is no pebbling move in  $S$  from  $v_i$  to  $v_{i+1}$ . Then there is a smallest such  $i$ , call it  $m$ . Since  $m$  is smallest, there is a pebbling move from  $v_{m-1}$  to  $v_m$ . So by Lemma 9 there is no pebbling move in  $S$  from  $v_{m+1}$  to  $v_m$ . Therefore there is no pebbling move in any direction across the edge  $\{v_m, v_{m+1}\}$ .  $\square$

**Remark 5.** By symmetry we can reverse the direction of pebbling in Lemma 9 and Corollary 2, making pebbling moves towards  $v_1$  instead of towards  $v_n$ .

**Theorem 11.** (*Stacking Theorem for oriented paths*) Let  $P$  be an oriented path. To determine  $\gamma(P)$  it is sufficient to consider simple initial configurations. In fact, for any initial configuration of  $P$  that is not cover solvable, there is an end vertex such that all pebbles can be concentrated to this end vertex and the resulting configuration will still not be cover solvable.

*Proof.* Suppose the stacking theorem for oriented paths is not true. Then there is a counter example with the smallest number of vertices, say  $n$ . In other words, for all orientations of any path  $P_m$  where  $m < n$  the theorem holds.

*Case 1.* There is a  $j \in \{2, 3, \dots, n-1\}$  such that  $v_{j-1}$  is pebbled from  $v_j$  and  $v_{j+1}$  is pebbled from  $v_j$ . Let  $M$  be the path from  $v_1$  to  $v_j$  and let  $N$  be the path from  $v_j$  to  $v_n$ . Since  $M$  and  $N$  are both shorter than  $P_n$ , they are not counter examples. Pebblings of  $M$  or  $N$  are mutually independent by Lemma 4. Hence, the most expensive pebbling of  $P_n$  is a combination of most expensive pebbblings of  $M$  and  $N$ , respectively. By our assumption, the most expensive pebbling of  $M$  is from a single end vertex — either from  $v_1$  or from  $v_n$ . Because there are pebbles on  $v_j$  used to pebble  $M$ , it must be  $v_j$ . Similarly, the most expensive pebbling of  $N$  is from  $v_j$ . By Lemma 7 it is more expensive to cover  $P_n$  from one of its end vertices than it is to cover  $P_n$  from  $v_j$ . This means our counter example is not most expensive. Contradiction.

*Case 2.* There is no  $v_j$  as in Case 1 and there is no edge with no pebbling move across it. Then by Corollary 2 all edges have pebbling moves in one direction, say towards  $v_n$ . Now apply Lemma 8 to get a contradiction.

*Case 3.* There is no  $v_j$  as in Case 1 and for some  $i \in \{1, 2, \dots, n-1\}$  there is an edge  $\{v_i, v_{i+1}\}$  with no pebbling moves made across it in either direction. Let  $M$  be the path from  $v_1$  to  $v_i$  and let  $N$  be the path (possibly trivial) from  $v_{i+1}$  to  $v_n$ . Again, pebbblings of  $M$  and  $N$  are mutually independent, and by our assumption each of these is pebbled from one of its end vertices. We are left to consider three cases (up to symmetry).

*Subcase 3.1.* We only have pebbles on  $v_1$  and  $v_n$ . Then by Lemma 6 this is not the most expensive configuration. Contradiction.

*Subcase 3.2.* We only have pebbles on  $v_1$  and  $v_{i+1}$ . If  $i = n-1$  then  $i+1 = n$  and this is treated by Subcase 3.1. If  $i < n-1$  then obviously covering  $N$  from  $v_1$  is more expensive.

*Subcase 3.3.* We only have pebbles on  $v_i$  and  $v_{i+1}$ . If  $i < n - 1$  then we can amalgamate  $v_i$  and  $v_{i+1}$  together, treating them as one vertex. Then we are looking at  $P_{n-1}$  with vertices  $v_1, v_2, \dots, v_{i-1}, v_i = v_{i+1}, v_{i+2}, \dots, v_n$ . In this new path, all pebbles are on the single vertex  $v_i = v_{i+1}$ . By Lemma 7 this is not the most expensive configuration for  $P_{n-1}$ , so the original configuration was not most expensive. Contradiction.

If  $i = n - 1$  then we have pebbles only on  $v_{n-1}$  and  $v_n$ . But pebbling  $P_n$  only from  $v_n$  is more expensive. Contradiction.  $\square$

**Corollary 3.** *Let  $P_n$  be an oriented path. Then*

$$\gamma(P_n) = \max\left\{\sum_{i=1}^n \prod_{j=1}^{i-1} \mathbf{c}(v_j, v_{j+1}), \sum_{i=1}^n \prod_{j=i}^{n-1} \mathbf{c}(v_{j+1}, v_j)\right\}.$$

*Proof.* By Theorem 11 to compute  $\gamma(P_n)$  we only need to compute two costs: the cost to cover  $P_n$  from  $v_1$  and the cost to cover  $P_n$  from  $v_n$ . The cost to cover  $P_n$  is the maximum of these two values.

To cover  $P_n$  from  $v_1$  we first pebble  $v_n$ , then  $v_{n-1}$ , and so on until we finally pebble  $v_1$ . Each time we make a pebbling move we are careful to only move pebbles towards  $v_n$ . In this way we minimize the number of pebbles needed to cover  $P_n$ . This gives us a total cost of  $\sum_{i=1}^n \prod_{j=1}^{i-1} \mathbf{c}(v_j, v_{j+1})$ .

The process to cover  $P_n$  from  $v_n$  is done similarly. We first pebble  $v_1$ , then  $v_2$ , and so on until we finally pebble  $v_n$ . This gives us a total cost of  $\sum_{i=1}^n \prod_{j=i}^{n-1} \mathbf{c}(v_{j+1}, v_j)$ . Therefore

$$\gamma(P_n) = \max\left\{\sum_{i=1}^n \prod_{j=1}^{i-1} \mathbf{c}(v_j, v_{j+1}), \sum_{i=1}^n \prod_{j=i}^{n-1} \mathbf{c}(v_{j+1}, v_j)\right\}.$$

$\square$

## Chapter 6

# Conclusion

In this paper we only looked at a special case of the Stacking Theorem, namely the Stacking Theorem for oriented paths. We conjecture that the Stacking Theorem is true for any oriented graph. Perhaps approaches taken in [11] and [10] could be used. We state our conjecture below.

**Conjecture 1.** (Stacking Conjecture) Let  $\omega$  be a positive configuration of an oriented graph  $G$ . To determine  $\gamma_\omega(G)$  it is sufficient to consider simple initial configurations.

There are many more questions one could ask about pebbling done on oriented graphs. For paths it would be interesting to see how changing the edge orientation affects the pebbling number. A good starting point would be to see what effect swapping orientations between edges has. Here, the number of edges oriented in each direction does not change, just their positions change.

Another good direction would be to look at the pebbling number of other oriented graphs. What is the pebbling and cover pebbling number of oriented cycles? How do these values compare to their unoriented versions? It would be natural to split this into two cases: even cycles and odd cycles.

What is the pebbling and cover pebbling number of oriented stars? How about oriented fuses? What about oriented trees? My guess would be that oriented trees would be similar to the unoriented version and use the stacking “theorem.”

We hope this paper will spark interest in pebbling of oriented graphs, and in general to the development of pebbling of directed graphs. There is a lot more waiting to be

explored; some surprises waiting around the corner.

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